1645

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Batch No.:

Group Art Unit:

Applicant:

Hom et al.

Serial No .:

09/816,876

Filed:

09/816,876

09/8

Notice of Allow. Date:

Due Date:

11/17/01

Title:

COMPOUNDS TO TREAT ALZHEIMER'S

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an

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Valor

unknown

13615.1USU2

1645

Karen Olsen

IDS

Commissioner for Patents Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

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Supplemental Information Disclosure Statement, Form 1449, 13 Reference(s), Copy of International Search Report

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Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903

612.332.5300

Name: Anna M. Nelson Reg. No.: 48,935

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Sup. IIs/#7 11/27/01

PATENT

S/N 09/816,876

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hom et al.

Examiner:

unknown

Serial No.:

09/816,876

Group Art Unit:

1645

Filed:

March 23, 2001

Docket No.:

13615.1USU2

Title:

COMPOUNDS TO TREAT ALZHEIMER'S DISEASE

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 15, 2001.

Karen Olsen

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report mailed October 17, 2001.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that <u>each item</u> of information listed on the enclosed Form 1449 <u>was first cited</u> in a communication from a foreign patent office in a counterpart foreign application <u>within three</u> months of filing this statement.

NOV 2 3 2001 BY Certifica

Certification Under 37 C.F.R. § 1.704(d)

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the International Search Report.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account

No. 13-2725.

Respectfully submitted, MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, MN 55402-0903 612.332.5300

Date: November 152001

Anna M. Nelson Reg. No. 48,935



NOV 2 3 2001

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Docket Number: 13615.1USU2	Application Number: 09/816,876
	Applicant: Hom et al.	
Gilse several sheets if necessary)	Filing Date: March 23, 2001	Group Art Unit: 1645

U.S. PATENT DOCUMENTS DOCUMENT NO. DATE EXAMIN NAME CLASS **SUBCLASS FILING DATE** IF APPROPRIATE INITIAL 5,703,129 12/30/1997 Felsenstein et al. 3/23/1999 5,886,046 Hirschmann et al. FOREIGN PATENT DOCUMENTS DOCUMENT NO. DATE COUNTRY CLASS **SUBCLASS** TRANSLATION YES NO WO 98/38167 9/3/1998 **PCT** WO 96/35414 11/14/1996 **PCT** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Copy of PCT International Search Report dated October 17, 2001 Shugo et al., Chemical and Pharmaceutical Bulletin, Vol. 40, No. 2, 1992 XP002178294 - Abstract Raddatz, J. of Med. Chem., Vol. 34, No. 11, 1991, pgs. 3267-3280 XP002178295 - Abstract Nishi et al., Chemistry Letters, 1989, pgs. 1993-1996 XP002178296 - Abstract Harbeson et al., J. of Med. Chem., Vol. 32, No. 6, 1989, pgs. 1378-1392 XP002178297 - Abstract Thaisrivongs et al., J. Med. Chem., Vol. 31, No. 7, 1988, pgs. 1369-1376 XP002178298 - Abstract Nakano et al., Bulletin of the Chemical Society of Japan, Vol. 63, No. 8, 1990, pgs. 2224-2232 XP002178299 - Abstract Kaltenbronn et al., J. Med. Chem., Vol. 33, No. 2, 1990, pgs. 838-845 XP002178300 - Abstract Ping et al., J. Med. Chem., Vol. 39, No. 10, 1996, pgs. 1991-2007 XP002178301 - Abstract Plummer et al., Bioorganic & Medicinal Chemistry Letters, Vol. 9, No. 6, 1999, pgs. 835-840 XP002178302 - Abstract

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citat and not considered. Include copy of this form for next communication to the Applicant.



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